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Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/801,891 Filing Date March 15, 2004 TRANSMITTAL **FORM** First Named Inventor Lai, S. Art Unit 3739 (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number 3500.P005A Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication to Technology Center (TC) Fee Transmittal Form Drawing(s) Appeal Communication to Board of Appeals and Interferences Licensing-related Papers Fee Attached Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please **Terminal Disclaimer** Identify below): **Extension of Time Request** IDS TMT (1 pg); Request for Refund PTO-1449 (3 pgs); **Express Abandonment Request** REFERENCES (16); and CD, Number of CD(s) Information Disclosure Statement a Return Prepaid Postcard Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Law Offices of James D. McFarland Individual name Signature Date September 30, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name James D. McFarland Date Sep 30, 2004 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Attorney File No. 3500.P005A

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lai, S

Serial No.: 10/801,891

Filed:

MARCH 15, 2004

For:

METHOD AND APPARATUS FOR

LASER SURGERY OF THE CORNEA

Group Art Unit: 3739

Examiner:

## Certificate of Mailing Under 37 C.F.R. §1.8

I hereby certify that this document is being deposited this day in the U.S. Postal Service as first class mail, in an envelope addressed to:

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Date: September 30 2004

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Name:

James D. McFarland Reg. No. 32,544

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Sir:

Enclosed is Patent and Trademark Office PTO-1449, listing references, copies of which are enclosed.

It is believed that this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. In the event that a first Office Action on the merits was mailed before the mailing date of this Statement, and/or additional fees are required, the Commissioner is hereby authorized to charge any additional fees or credit any overpayments to Deposit Account No. 50-0948. A duplicate copy of this transmittal is included for this purpose.

Respectfully submitted,

Dated: <u>Sept. 30</u>, 2004

lames D. McFarland, Reg. No. 32,544

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OINFORMATION DISCLOSURE STATEMENT	Application No.	10/801,891
BY APPLICANT	Applicant	Lai, Shui
OCT 0 4 2004 &	Filing Date	03/15/2004
	Group Art Unit	3739
TRANSMA	Examiner Name	
Sheet Page 1 of 3	Att. Docket No.	3500.P005A

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1INFORMATION DISCLOSURE STATEMENT		Application No	10/801,891
	BY APPLICANT	Applicant	Lai, Shui
		Filing Date	03/15/2004
		Group Art Unit	3739
		Examiner Name	
Sheet	Page 2 of 3	Att. Docket No.	3500.P005A

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- 1	CITATION IF NOT IN CONFORMANCE AND NOT CONSID	DERED, INCLUDE COPY OF THIS FOR	M WITH NEXT COMMUNICATION TO APPLICANT

1INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application No.	10/801,891
		Applicant	Lai, Shui
		Filing Date	03/15/2004
		Group Art Unit	3739
		Examiner Name	
Sheet	Page 3 of 3	Att. Docket No.	3500.P005A

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